GFD-E.024 (Experimental) Grid Security Infrastructure (GSI) WG S. Meder V. Welch U. Chicago S. Tuecke D. Engert ANL February 2001 Revised June 2004

# **GSS-API** Extensions

## Status of this Memo

This document provides information to the community regarding extensions to GSS-API as defined in RFC 2743 and RFC 2744.

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### Abstract

This document describes extensions to RFC 2743, Generic Security Service Application Program Interface Version 2, Update 1. Extensions include: credential export and import; delegation at any time; credential extensions (e.g. restrictions) handling.

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## **1** Introduction

RFC 2743 defines the Generic Security Service Application Program Interface (GSS-API) Version 2, Update 1 [3, 4], as an API for portably adding authentication, delegation, and message protection to distributed computing applications.

In 1997, the Globus Project (www.globus.org) introduced the Grid Security Infrastructure (GSI), an implementation of a security mechanism for Grid computing that uses the GSS-API standard. This implementation uses public key protocols for use in programming Grid applications [2] -- that is, applications that run in dynamic, inter-domain distributed computing environments. Based on this implementation, a great deal of experience has been gained on the use of GSS-API in numerous real applications and middleware toolkits. While this experience has been overwhelmingly positive, it has also led to an understanding of some deficiencies in the existing GSS-API.

This document describes extensions to the GSS-API made by the Globus Project to address these deficiencies. These extensions are:

- *Credential export and import*: Processes need to be able, in a controlled and standard fashion, to export credentials to and import credentials from other processes. This includes processes that are not written to the GSS-API.
- *Delegation at any time*: GSS-API only allows for delegation during the initial context establishment, via an argument to gss\_init\_sec\_context. This document extends GSS-API to also allow for delegation at any time after initial context establishment.
- *Credential extensions handling*: When delegating a credential, it is often useful to attach additional data, such as restriction policies, to that delegated credential which restricts its usage. This document defines extensions to the GSS-API to allow such extensions to be specified during delegation and to be extracted from a security context after authentication. However, the approach is neutral to the actual attached data.

Section 2 describes the GSS-API extensions.

Section 3 contains changes to existing GSS-API functions.

Section 4 contains discussions of problems with the existing GSS-API that the authors have found, but have not had time to work out solutions for.

Section 5 contains security considerations.

Section 6 contains C-bindings for the API extensions.

Section 7 contains the references.

Section 8 contains acknowledgements.

Section 9 contains the OIDs defined by this document.

Section 10 contains the change log for this document.

Section 11 contains contact information for the authors.

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This document was written under the auspices of the Global Grid Forum Grid Security Infrastructure Working Group. For more information on this and other related work, see http://www.gridforum.org/2\_SEC/GSI.htm.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC-2119 [1]. While not a normative document, these terms are used to describe requirements for interoperability with the Globus Project implementation.

## 2 Extension Specifications

This section describes extensions to the GSS-API as defined in RFC 2743..

### 2.1 Credential Export and Import

These functions are intended to be used primarily by complex servers that must juggle multiple credentials, storing them for a time in files and/or passing them to other processes. In order to portably implement a reliable service that can accept delegated credentials from multiple clients, these functions are needed to allow the service to checkpoint and reload the delegated credential to and from permanent storage.

These functions also provide support for servers that need to pass a credential on to other processes, for example a secure shell daemon (SSHD) that accepts a delegated credential from a client and then wants to make that credential available to the spawned shell process.

Previously applications that needed this functionality were forced to use mechanism specific lower level routines, defeating the purpose of a generic interface.

The gss\_export\_cred function allows exporting credentials in one of two forms as selected by the option\_req parameter:

When option\_req is equal to 0, it exports an opaque buffer suitable for storage in memory or on disk or passing to another process, which can import the buffer with gss\_import\_cred. This method of invocation will normally be used by applications that want to transfer a

credential to another GSS application or save a credential to some storage medium so it can be loaded later (perhaps after a restart).

When option\_req is equal to 1, it exports a buffer filled with mechanism-specific information that the calling application can use to pass the credential to another process that is not written to the GSS-API. This is intended to work with the existing Kerberos and GSI GSS implementations. These implementations normally store credentials by writing the credentials to a file and then storing the path to that file in an environment variable. In the case of these implementations this function stores the credential on disk and returns a buffer containing a string suitable for passing to putenv(3). This method of invocation will normally be used by applications, such as SSHD, which accept a delegated GSS credential and then want to make this credential available to the shell process they spawn.

Note that in either case, you cannot pass the exported credential to another machine over the network and assume it will work. Passing a credential to another process may also fail if the process does not have the same privileges as the original process.

A credential can also expire between export and import. In this case the import of the credential SHOULD fail, returning an error.

The gss\_import\_cred function is the complimentary function to gss\_export\_cred. When called with the exported buffer and option\_req set to the same value used in the gss\_export\_cred call it MUST return a credential handle suitable for use with other GSS-API functions. While this function is similar in function to gss\_acquire\_cred, there is no compatibility between the two (i.e. one cannot take a buffer from gss\_export\_cred and use gss\_acquire\_cred to import it).

#### 2.1.1 gss\_export\_cred call

Inputs:

- option\_req INTEGER 0 = Export simple data good only for reuse with gss\_import\_cred, 1 = Export mechanism-specific data that can be passed to non-GSS applications (see following function description).
- cred\_handle CREDENTIAL HANDLE credential to be exported. The credential may be modified but is stable and usable after call.
- desired\_mech OBJECT IDENTIFIER desired mechanism for exported credential, may be NULL to indicate system default

Outputs:

- major\_status INTEGER
- minor\_status INTEGER

- export\_buffer OCTET STRING token to be used in future gss\_import\_cred call (if option\_req == 0) or mechanism specific data (if option\_req == 1). To be freed by caller using gss\_release\_buffer.
- actual\_mech OBJECT\_IDENTIFIER actual mechanism of the exported credential

Returned major\_status codes:

- GSS\_S\_COMPLETE indicates that the operation was successfully completed and export\_buffer contains the requested data.
- GSS\_S\_CREDENTIALS\_EXPIRED indicates the credential referred to by cred\_handle has expired and cannot be exported.
- GSS\_S\_UNAVAILABLE indicates that the requested operation is not supported by the underlying mechanism.
- GSS\_S\_BAD\_MECH indicates that the requested mechanism is unsupported.
- GSS\_S\_FAILURE indicates a failure unspecified at the GSS-API level.

GSS\_export\_cred is used to export a credential so that it can be passed to another process or reloaded by the same process after its use of a different credential in the interim.

If the caller passes in a value of 0 for option\_req the returned data MUST be suitable for use in a call to gss\_import\_cred at a later date or in a different process. Note that the data may contain the actual credential itself or just a pointer to it (e.g. a filename). In any case it should be assumed that the returned buffer contains sensitive information and care should be taken to protect the privacy of its contents. This token MUST be encapsulated using the mechanism for an exported name object defined in RFC 2743 [3], Section 3.2, using the exported credential object in place of the name object and a token identifier value of hex 04 02.

If the caller passes in a value of 1 for option\_req the return data MUST contain mechanismspecific data that the calling application can use to pass the credential to another process that does not use the GSS-API. The caller is responsible for understanding this data and knowing what to do with it. For example in the case of both Kerberos 5 and GSI the credential will be stored to a file and the returned data will be a string suitable for passing to putenv(3). In this case the caller may need to change the ownership of the credential file.

In either case the data is returned in export\_buffer, which the calling application is responsible for freeing using gss\_release\_buffer.

#### 2.1.2 gss\_import\_cred

Inputs:

- option\_req INTEGER 0 = import\_buffer is opaque data as created by gss\_export\_cred called with option\_req = 0, 1 = import\_buffer is handle to a credential as created by gss\_export\_cred called with option\_req = 1 (e.g. strings of the form "KRB5CCNAME=FILE:filename").
- desired\_mech OBJECT IDENTIFIER desired mechanism for the imported credential, may be NULL to indicate system default.
- import\_buffer OCTET STRING buffer containing data for import as created by a call to gss\_export\_cred.
- lifetime\_req INTEGER in seconds, 0 requests default.

#### Outputs:

- major\_status INTEGER
- minor\_status INTEGER
- cred\_handle CREDENTIAL HANDLE the returned credential handle. Resources associated with the credential handle must be released by the application after use with a call to gss\_release\_cred.
- lifetime\_rec INTEGER in seconds, with reserved value for INDEFINITE.
- actual\_mech OBJECT\_IDENTIFIER actual mechanism of the imported credential.

Returned major\_status codes:

- GSS\_S\_COMPLETE indicates that the operation was successful and cred\_handle contains a handle to a usable credential.
- GSS\_S\_BAD\_MECH indicates that the requested mechanism is unsupported.
- GSS\_S\_DEFECTIVE\_TOKEN indicates the import buffer is unparsable.
- GSS\_S\_NO\_CRED indicates that the imported credential is unusable or inaccessible.
- GSS\_S\_CREDENTIALS\_EXPIRED indicates that the imported credential has expired.
- GSS\_S\_FAILURE indicates an error unspecified at the GSS-API level.

gss\_import\_cred is the complimentary function to gss\_export\_cred and is intended to be used to allow use of a credential that was passed from another process or read from storage.

The credential, or the handle to the credential, is read from import\_buffer, which MUST be unmodified. A handle to the credential is returned in cred\_handle.

The lifetime\_rec result MUST indicate the length of time for which the acquired credential will be valid, as an offset from the present. A mechanism MAY return a reserved value indicating INDEFINITE if no constraints on credential lifetime are imposed. A caller of gss\_import\_cred MAY request a length of time for which the acquired credential is to be valid (using the lifetime\_req parameter), beginning at the present, or can request a credential with a default validity interval. Requests for postdated credentials are not supported within the GSS-API. Implementations MAY supply credential validity period specifiers at a point prior to invocation of the gss\_import\_cred call (e.g., in conjunction with user login procedures). As a result, callers requesting non-default values for lifetime\_req MUST be prepared to accommodate the return of a credential with a different lifetime as indicated by lifetime\_rec. The lifetime\_req parameter should be viewed as advisory and no error should be returned if the lifetime request cannot be honored.

### 2.2 Delegation At Any Time

These functions are designed to allow more flexible protocols to be built on top of the GSS-API. They allow delegation to happen at times other than context initiation, in either direction regardless of the direction of context initiation (i.e. the acceptor in context initiation can be the delegator) and with a credential other than the credential used for context initiation.

These functions also allow the initiator and acceptor to attach extensions, such as restriction polices, to a delegated credential. These extensions are mechanism-specific and completely opaque to the GSS-API. See the following section on Credential Extension Handling for information about accessing the extension data.

Furthermore, these functions make it possible to delegate credentials associated with mechanisms other than the mechanism used for context establishment. Application may for example wish to delegate a Kerberos credential over a security context established using GSI.

The two functions for doing delegation are gss\_init\_delegation and gss\_accept\_delegation. These functions are used in a similar manner as gss\_init\_sec\_context and gss\_accept\_sec\_context; they should be called in a loop as long as they return GSS\_S\_CONTINUE\_NEEDED and the tokens output by each should be passed into the other.

Note that mechanisms are not required to support intermingling of calls to other GSS-API functions (e.g. gss\_wrap) with the sequence of calls needed to perform delegation of a credential. This also implies that if an application initiates a second delegation while a previous one has yet to complete, the results may be undefined.

#### 2.2.1 gss\_init\_delegation

Inputs:

- context\_handle CONTEXT HANDLE handle to existing security context.
- cred\_handle CREDENTIAL HANDLE handle to credential to be delegated. NULL (GSS\_C\_NO\_CREDENTIAL) specifies the use of the default credential.
- mech\_type OBJECT IDENTIFIER NULL parameter specifies that the system default should be used.
- lifetime req INTEGER 0 specifies default lifetime
- extension\_oids SEQUENCE OF OBJECT IDENTIFIER identifiers for the type of data in the extensions\_buffers. See description below for a discussion of this option.
- extension\_buffers SEQUENCE OF OCTET STRINGS opaque extension data to be applied to the delegated credential. See description below for a discussion of this option.
- input\_token OCTET STRING GCC\_C\_NO\_BUFFER or token received from target.

Outputs:

- major\_status INTEGER
- minor\_status INTEGER
- output\_token OCTET STRING -token to pass to target. May be empty. Caller must release with gss\_release\_buffer.

Returned major\_status codes:

- GSS\_S\_COMPLETE indicates that delegation is complete and it is not necessary to call gss\_init\_delegation again. The returned output\_token may have data to be sent to the target to successfully complete the delegation.
- GSS\_S\_CONTINUE\_NEEDED indicates that the information in the returned output\_token must be sent to the target and a reply must be received and passed as the input\_token argument in a subsequent call to gss\_init\_delegation.
- GSS\_S\_DEFECTIVE\_TOKEN consistency checks on input token failed.
- GSS\_S\_BAD\_SIG bad integrity check on input token.

- GSS\_S\_NO\_CRED credential is invalid.
- GSS\_S\_CREDENTIALS\_EXPIRED provided credential has expired.
- GSS\_S\_OLD\_TOKEN input\_token is too old.
- GSS\_S\_DUPLICATE\_TOKEN input\_token is a duplicate.
- GSS\_S\_BAD\_MECH unsupported mechanism.
- GSS\_S\_BAD\_BINDINGS this indicates that the extensions provided were invalid (e.g. a mismatch of number of OIDs and buffers).
- GSS S FAILURE error unspecified at the GSS-API level.

This routine is used by either side of a security context to perform a delegation to the peer. The credential being delegated MAY either be the same credential used to setup the context or MAY be a different credential.

Input parameters other than input\_token MUST correspond to the same valid GSS-API structures during the delegation process.

The extension\_oids and extension\_buffers parameters can be used to specify a set of extensions for the delegated credential. There MUST be an identical number of OIDs and buffers, with each OID indicating what the type of data (e.g. policy language) its corresponding buffer contains. These values MAY be GSS\_C\_NO\_OID\_SET and GSS\_C\_NO\_BUFFER\_SET, respectively, to indicate that no extensions are to be applied to the credential.

#### 2.2.2 gss\_accept\_delegation

Inputs:

- sec\_context CONTEXT HANDLE handle to existing security context.
- input\_token OCTET STRING token received from initiator.
- extension\_oids SEQUENCE OF OBJECT IDENTIFIER identifiers for the type of data in the extension\_buffers. See description below for a discussion of this option.
- extension\_buffers SEQUENCE OF OCTET STRINGS requested data for extensions to be applied to the delegated credential. See description below for a discussion of this option.
- lifetime\_req INTEGER in seconds, 0 requests default

Outputs:

- delegated\_cred CREDENTIAL HANDLE on completion this will contain a handle to the delegated credential. The caller must release with gss\_release\_cred.
- lifetime\_rec INTEGER in seconds, or reserved value for INDEFINITE
- output\_token OCTET STRING token to pass to initiator. MAY be empty. Caller must release with gss\_release\_buffer.
- mech\_type OBJECT\_IDENTIFIER mechanism corresponding to delegated credential; read only, caller should not attempt to release.

Return major\_status codes:

- GSS\_S\_COMPLETE indicates that the delegation has been successful and delegated\_cred contains a handle to a usable credential.
- GSS\_S\_CONTINUE\_NEEDED indicates that the information in the returned output\_token must be sent to the initiator and a reply must be received and passed as the input\_token argument in a subsequent call to gss\_accept\_delegation.
- GSS\_S\_DEFECTIVE\_TOKEN malformed token.
- GSS\_S\_BAD\_SIG integrity check failed.
- GSS\_S\_OLD\_TOKEN token too old.
- GSS\_S\_NO\_CONTEXT bad context.
- GSS\_S\_BAD\_MECH unsupported mechanism for the delegated credential.
- GSS\_S\_BAD\_BINDINGS this indicates that the extensions provided were invalid (e.g. a mismatch of number of OIDs and buffers).
- GSS\_S\_FAILURE Error unspecified at the GSS-API level.

This routine is used by either side of a security context to accept a delegation being performed by gss\_init\_delegation. The credential being delegated MAY be either the same credential used to setup the context or MAY be a different credential.

Input parameters other than input\_token MUST correspond to the same valid GSS-API structures during the delegation process.

The lifetime\_rec parameter, on successful completion, MUST contain the remaining lifetime, in seconds, of the delegated credential. A mechanism May return a reserved value meder@mcs.anl.gov

indicating INDEFINITE if no constraints on credential lifetime are imposed. A caller of gss\_accept\_delegation MAY also request that the delegated credential be valid for a specified period of time (via the lifetime\_req argument), but MUST be prepared to handle a delegated credential with shorter than requested lifetime.

The extension\_oids and extension\_buffers parameters MAY be used to request extensions to be applied to the delegated credential. There MUST be an identical number of OIDs and buffers with each OID indicating the type of data (e.g. policy language) its corresponding buffer contains. See gss\_init\_delegation for a discussion of these OIDs. Note that depending on the mechanism, the initiator MAY decide to ignore the extensions requested by the acceptor. These values MAY be GSS\_C\_NO\_OID\_SET and GSS\_C\_NO\_BUFFER\_SET, respectively, to indicate that no extensions are requested in the credential.

## 2.3 Extended Credential Inquiry

Applications wanting to make more complex authorization decisions require more information about a client's credential than just the client's identity. In order to provide this information the gss\_inquire\_sec\_context\_by\_oid and gss\_inquire\_cred\_by\_oid functions were added. These functions give applications a means to retrieve arbitrary data about a context or credential.

The gss\_inquire\_sec\_context\_by\_oid and gss\_inquire\_cred\_by\_oid functions are called with an object identifier identifying the information that the application is interested in. This object identifier will either be one of a number of generic OIDs or may be mechanism-specific.

#### 2.3.1 gss\_inquire\_sec\_context\_by\_oid call

Inputs:

- sec\_context CONTEXT HANDLE handle to existing security context.
- desired\_object OBJECT IDENTIFIER OID of desired object.

Outputs:

- major\_status INTEGER
- minor\_status INTEGER
- data\_set SET OF OCTET STRING zero or more pieces of data corresponding to the data associated with the desired\_object OID. To be freed by caller using gss\_release\_buffer\_set.

Return major\_status codes:

- GSS\_S\_COMPLETE indicates that the function completed successfully and data was returned.
- GSS\_S\_CONTINUE\_NEEDED indicates that there are more data items to be returned (see following description).
- GSS\_S\_NO\_CONTEXT indicates that no valid context was recognized for the input context handle provided.
- GSS\_S\_FAILURE indicates that the requested operation failed for reasons unspecified at the GSS-API level.

The desired\_object parameter MUST contain an OID that references information the application is interested in. This may, for example, be an OID that identifies an extension used in the delegation routines

If the requested data is not present, major\_status MUST be set to GSS\_S\_COMPLETE and 0 objects MUST be returned in data\_set.

Note that there is disagreement between the current GSS-API RFC [3] and the RFC specifying the C-language bindings [4]. While the GSS-API RFC states that functions that functions of this nature should not iterate using GSS\_S\_CONTINUE\_NEEDED, the RFC specifying language bindings does so. Applications SHOULD be prepared to handle this function returning only a single piece of data per call and call it iteratively if it returns GSS\_S\_CONTINUE\_NEEDED.

#### 2.3.2 gss\_inquire\_cred\_by\_oid call

Inputs:

- cred\_handle CREDENTIAL HANDLE handle to existing credential.
- desired\_object OBJECT IDENTIFIER OID of desired object.

Outputs:

- major\_status INTEGER
- minor\_status INTEGER
- data\_set SET OF OCTET STRING zero or more pieces of data corresponding to the data associated with the desired\_object OID. To be freed by caller using gss\_release\_buffer\_set.

Return major\_status codes:

- GSS\_S\_COMPLETE indicates that the function completed successfully and data was returned.
- GSS\_S\_CONTINUE\_NEEDED indicates that there are more data items to be returned (see following description).
- GSS\_S\_NO\_CRED indicates there was no valid credential
- GSS\_S\_FAILURE indicates that the requested operation failed for reasons unspecified at the GSS-API level.

The desired\_object parameter MUST contain an OID that references information the application is interested in. This may for example correspond to an OID used in the extended delegation routines. The OID id-gss-ext-inquire-cred-restrictions, as defined in Section 9, MAY be used to request restrictions on the use of the credential. This allows restrictions that may be encoded in a mechanism-specific manner to be requested independent of the mechanism.

If the requested data is not present, major\_status MUST be set to GSS\_S\_COMPLETE and 0 objects will be returned in data\_set.

Note there is disagreement between the current GSS-API RFC [3] and the RFC specifying the C-language bindings [4]. While [3] states that functions of this nature should not iterate using GSS\_S\_CONTINUE\_NEEDED, [4] does so. Applications SHOULD be prepared to handle this function returning only a single piece of data per call and call it iteratively if it returns GSS\_S\_CONTINUE\_NEEDED.

## 2.4 Context options

Currently there is no method for passing flags into the gss\_accept\_sec\_context call. Instead of changing the arguments to this function we define a method that allows us to set options on a security context and then pass the context into a first call of gss\_accept\_sec\_context or gss\_init\_sec\_context. This provides the means for an application to achieve more fine-grained control of the underlying GSS-API mechanism.

The options are identified with OIDS, which may be mechanism specific or one of the generic options defined below.

Generic options, OIDs are defined in Section 9:

id-gss-ext-context-opts-disallow-encryption – Setting this option to 1 MUST cause the underlying GSS library to disallow any encryption of application data.

id-gss-ext-context-opts-fail-expiration – Setting this option to 1 MUST cause the underlying GSS library to fail if any message protection operation is attempted after the security context

has expired. The underlying mechanism SHOULD allow this option to be set to 0 after such an error is returned so that an application can finish an ongoing process before returning an error to the user. For example, an application may wish to complete a file transfer already in progress before refusing to start another transfer. Note that some implementations may not be able to perform message protection after security context expiration.

id-gss-ext-context-opts-app-check-exts – Setting this option to a list of OIDs (using the SET OF OBJECT IDENTIFIER data type) will inform the underlying GSSAPI library that the application will take responsibility for checking any extensions in the peer credential identified by these OIDs . If the GSS mechanism encounters an extension during context establishment that the application has not declared its responsibility for, then the GSS mechanism may choose to fail the authentication. The reason for this is that without the knowledge that the application is aware of and taking responsibility for handling the extensions the GSSAPI mechanism cannot be assured they will be respected and must take the safe course of failure.

#### 2.4.1 gss\_set\_sec\_context\_option call

Inputs:

- sec\_context CONTEXT HANDLE handle to security context. NULL specifies that a new security context should be created.
- option OBJECT IDENTIFIER OID of desired option.
- value OCTET STRING value of option.

#### Outputs:

- major\_status INTEGER
- minor\_status INTEGER

Return major\_status codes:

- GSS\_S\_COMPLETE indicates that the function completed successfully.
- GSS\_S\_FAILURE indicates that the requested operation failed for reasons unspecified at the GSS-API level. This includes an attempt to change an immutable option.

The sec\_context parameter MUST either be an existing security context or NULL, indicating a new security context should be initialized and the specified option set on the new context.

The option parameter MUST contain an OID that references the option that the application wishes to set.

The value parameter MUST contain the value the option should be set to. The size of the octet string will vary depending on the option being set (analogous to the UNIX setsockopt function).

## 2.5 Buffer Set Functions

These functions are needed to support other new functions added in this section.

#### 2.5.1 gss\_create\_empty\_buffer\_set

Inputs:

• None

Outputs:

- buffer\_set SET OF OCTET STRING To be freed by caller using gss\_release\_buffer\_set.
- major\_status INTEGER
- minor\_status INTEGER

Return major\_status codes:

- GSS\_S\_COMPLETE indicates that the function completed successfully.
- GSS\_S\_FAILURE indicates that the requested operation failed for reasons unspecified at the GSS-API level.

Creates an empty buffer set, to which members may subsequently be added using the gss\_add\_buffer\_set\_member routine.

#### 2.5.2 gss\_add\_buffer\_set\_member call

Inputs:

- member\_buffer OCTET\_STRING
- buffer\_set SET OF OCTET STRING

Outputs:

- major\_status INTEGER
- minor\_status INTEGER

Return major\_status codes:

- GSS\_S\_COMPLETE indicates that the function completed successfully.
- GSS\_S\_FAILURE indicates that the requested operation failed for reasons unspecified at the GSS-API level.

This function adds a buffer to a buffer set. The member\_buffer argument may be released after the completion of this call.

#### 2.5.3 gss\_release\_buffer\_set call

Inputs:

• buffer\_set SET OF OCTET STRING

Outputs:

- major\_status INTEGER
- minor\_status INTEGER

Return major\_status codes:

- GSS\_S\_COMPLETE indicates that the function completed successfully and data was returned.
- GSS\_S\_FAILURE indicates that the requested operation failed for reasons unspecified at the GSS-API level.

This function allows callers to release the storage associated with a SET OF OCTET STRING allocated by another GSS-API call. This call's specific behavior depends on the language and programming environment within which a GSS-API implementation operates, and is therefore detailed within applicable bindings specifications; in particular, implementation and invocation of this call may be superfluous (and may be omitted) within bindings where memory management is automatic.

## **3** Changes to existing functions

This section contains changes to the behavior of the existing GSSAPI functions.

### 3.1 gss\_accept\_sec\_context

The gss\_accept\_sec\_context call MUST now accept a non-NULL security context, as created by the gss\_set\_sec\_context\_option call, on initial call.

### 3.2 gss\_init\_sec\_context

The gss\_init\_sec\_context call MUST now accept a non-NULL security context, as created by the gss\_set\_sec\_context\_option call, on initial call.

### 3.3 gss\_getMIC, gss\_verifyMIC, gss\_wrap, gss\_unwrap

If the GSS\_PROTECTION\_FAIL\_ON\_CONTEXT\_EXPIRATION option has been set to 1 by the gss\_set\_sec\_context\_option call then these functions MUST fail if the security context being used has expired.

If the GSS\_PROTECTION\_FAIL\_ON\_CONTEXT\_EXPIRATION option is set to 0 then functions SHOULD continue to function as long as they possibly can regardless of the expiration of security context. Some implementation may not be able to continue functioning after security context expiration.

## 4 Additional Desired Functionality

The following sections describe shortcomings in the GSSAPI and propose possible schemes for modifying the API to address these but do not lay out a specific proposal for change.

## 4.1 Token Framing

One major shortcoming in the GSS-API specification is that it does not prescribe or recommend a standard mechanism independent way of framing tokens generated by GSS-API functions.

We feel that the absence of a framing mechanism negates the utility of the mech\_type and similar parameters, since demultiplexing tokens based on mechanism without said framing becomes nearly impossible.

We realize that almost all mechanisms used to implement the GSS-API provide their own framing mechanism and may not want to add additional framing since this may hinder interoperability with non-GSS-API using applications. Thus any proposed framing should not be mandatory.

It is our opinion that any proposed framing mechanism should at the bare minimum allow for the following:

- 1. A mechanism for determining the length of the token
- 2. A way of determining the mechanism that produced the token
- 3. A mechanism for identifying the token type, where token type indicates the origin (e.g. context establishment, application data ...) of the token

Finally, it may be argued that framing could and should be done at the application level, but even in this case a recommendation would give the benefit of greater interoperability between mechanisms which implement framing at the GSSAPI level.

### 4.2 Different levels of verbosity with gss\_display\_status

It is desirable to have different levels of error message verbosity at different times. When returning an error message to a user, simplicity is often the best course. Users tend to want a simple description of the error and maybe a little guidance on how to fix the problem. Long error messages tend to scare them away.

On the other hand, if a developer or other user seriously interested in debugging is reading the error message, the more information the better.

The gss\_display\_status call currently offers no way to select different levels of verbosity in the messages it returns. Some possible ways of implementing this are:

- Add a way of passing in a flag to the gss\_display\_call perhaps by defining more values for status type.
- Defining a predefined string at the beginning of all strings returned by gss\_display\_status to indicate the verbosity level of the string. This has the advantage that the server can send these strings over the network to a client that can decide the level of verbosity without involving the server.
- Defining a new call, gss\_display\_status\_ext, that has an option to specify verbosity level.

## **5** Security Considerations

### 5.1 Credential export and import

Since exported credential buffers may contain sensitive information (e.g. the credential itself) care must be taken to maintain the privacy of this information. Precautions should include:

- Storing the exported credential only in files with carefully controlled access policies
- Not sending the exported credential over the network in the clear (unencrypted)
- Being careful which processes are given access to the credential

## 5.2 Delegation at any time and restricted delegation handling

Applications delegating credentials need to be sure that they are delegating credentials only to other processes and systems that can be trusted. Since credentials are often stored on disk, compromises of systems can lead to stolen credentials from trusted processes.

The use of restrictive policies is encouraged for this reason as it can greatly limit the use of any stolen credential.

## 6 C-Bindings

The following are the C-bindings for the API extensions:

typedef gss buffer set desc struct { size t count; gss buffer desc \* elements: } gss buffer set desc, \*gss buffer set t; OM uint32 gss create empty buffer set( OM uint32 \* minor status, gss buffer set t\* buffer set) OM uint32 gss add buffer set member( OM uint32 \* minor status, const gss buffer t member buffer, gss buffer set t\* buffer set) OM uint32 gss release buffer set( OM uint32 \* minor status, gss buffer set t buffer set) OM uint32 gss export cred( OM uint32 \* minor status, cred handle, const gss cred id t const gss OID desired mech, gss OID \* actual mech, OM uint32 option req, gss buffer t export buffer); OM uint32 gss import cred( OM uint32 \* minor status, gss cred id t\* output cred handle, const gss OID desired mech, gss OID \* actual mech, OM uint32 option req, const gss buffer t import buffer, OM uint32 time req, OM uint32 \* time rec);

OM_uint32			
gss_init_delegation(			
OM uint32 *	minor status,		
const gss_ctx_id_t	context_handle,		
const gss_cred_id_t	cred handle,		
const gss OID	desired_mech,		
const gss OID set	extension oids,		
const gss buffer set t			
const gss_burler_set_t	const gss buffer t input token,		
OM uint32	time req,		
gss buffer t	output token);		
gss_ouner_t	output_token),		
OM uint32			
gss_accept_delegation(			
OM uint32 *	minor status,		
const gss ctx id t	context handle,		
const gss_OID_set	extension oids,		
const gss buffer set t			
const gss buffer t	input token,		
OM uint32	time_req,		
OM_uint32 *	time_rec,		
gss_cred_id_t *	delegated cred handle,		
gss_OID	mech type,		
gss buffer t	output token);		
gss_bullet_t	output_token),		
OM uint32			
gss_inquire_sec_context_by_oid(			
OM uint32 *	minor status,		
const gss ctx id t	context_handle,		
const gss OID	desired object,		
gss buffer set t	data set);		
0	_ ,,,		
OM_uint32			
gss_inquire_cred_by_oid(			
OM_uint32 *	minor_status,		
const gss_ced_id_t	cred_handle,		
const gss_OID	desired_object,		
gss_buffer_set_t *	data_set);		
OM wint 22			
OM_uint32			
gss_set_sec_context_optic OM_uint32 *			
gss ctx id t *	minor_status, context handle,		
const gss_OID	option,		
gss_buffer_t	value);		

## 7 References

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- [3] Linn, J., "Generic Security Service Application Program Interface, Version 2, Update 1," RFC 2743, January 2000.
- [4] Wray, J., "Generic Security Service API Version 2, C-bindings," RFC 2744, January 2000.

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## 9 List of OIDs Defined in this Document

This section lists the OIDs used in this document.

id-gss-ext-inquire-cred-restrictions: 1.3.6.1.4.1.6757.1.1.1.1 iso.org.dod.internet.private.enterprise.grid.security.gss-extensions.inquire-cred.restrictions

id-gss-ext-context-opts-disallow-encryption: 1.3.6.1.4.1.6757.1.1.2.1 iso.org.dod.internet.private.enterprise.grid.security.gss-extensions.context-options.disallow-encryption

id-gss-ext-context-opts-fail-expiration: 1.3.6.1.4.1.6757.1.1.2.2 iso.org.dod.internet.private.enterprise.grid.security.gss-extensions.context-options.fail-on-context-expiration

id-gss-ext-context-opts-app-check-exts: 1.3.6.1.4.1.6757.1.1.2.3 iso.org.dod.internet.private.enterprise.grid.security.gss-extensions.contextoptions.application-checked-extensions

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